

Docket Number: 071469-0306049
Client Reference: PC8016ABC

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

LEE CHEN, et al.

Application No.: 10/670,795

Filed: September 26, 2003

Group Art Unit: 2812

Examiner: Not Yet Assigned

Confirmation No.: 7899

For: A METHOD AND SYSTEM FOR ETCHING HIGH-K DIELECTRIC MATERIALS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450


Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. As this application was filed after June 30, 2003, no copies of the two cited U.S. patents are enclosed; however, one copy of each foreign patent reference and each non-patent literature reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

These references were cited in an International Search Report, dated September 20, 2004, in a corresponding international application.

Respectfully Submitted,


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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/670,795
Filing Date	09/26/2003
First Named Inventor	LEE CHEN
Art Unit	2812
Examiner Name	
Attorney Docket Number	071469-0306049

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	A	EP 0 964 439 A1	12-15-1999	ROBERTSON et al.		
	B	EP 1 201 603 A2	05-02-2002	ROBERTSON et al.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/670,795		
		Filing Date	09/26/2003		
		First Named Inventor	LEE CHEN		
		Art Unit	2812		
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	071469-0306049

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	I	JAIN, AJAY ET AL., "Thermal dry-etching of copper using hydrogen peroxide and hexafluoroacetylacetone," Thin Solid Films, Elsevier Science S.A., p. 51-56, (June 28, 1995)	
	II	STEGER, RICHARD ET AL., "Chemical vapor etching of copper using oxygen and 1,1,1,5,5,5-hexafluoro-2,4-pentanedione," Thin Solid Films, Elsevier Science S.A., p. 221-229, (July 24, 1999).	
	III	LEE, WONHEE ET AL., "Dry patterning of copper films using an O2 plasma and hexafluoroacetylacetone," Thin Solid Films, Elsevier Science B.V., p. 122-127, (March 12, 2001).	

Examiner Signature		Date Considered	
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